



Docket No. 48002-DIV (71758)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter R. Rhode, et al.

Serial No.: 09/766,378

Group Art Unit No.: 1646

Filed: January 19, 2001

Examiner: Not yet assigned

For: SOLUBLE MHC COMPLEXES AND METHODS OF USE THEREOF

Hon. Commissioner of Patents and Trademarks
Washington, DC 20231

0590
#3
JW
12/12/01
RECEIVED

DEC 06 2001

TECH CENTER 1600/2900

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I, Patricia A. Barnes, hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on **October 5, 2001**.

Patricia A. Barnes
Patricia A. Barnes

Sir:

LETTER

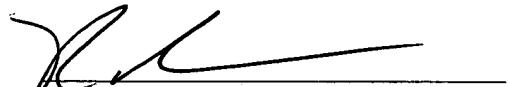
In response to the Notice to Comply dated May 5, 2001, Applicants note the following.

Applicants replied to a prior Notice to Comply dated April 3, 2001 ("prior Notice"). Information requested in the prior Notice and the Notice dated May 5, 2001 are identical. Applicants' responded to the prior Notice on May 17, 2001. That response was received by the USPTO on May 21, 2001 (as evidenced by the attached return post card copy).

In view thereof, Applicants believe all outstanding formal filing requirements have been fully satisfied including the sequence information requested in the instant Notice to Comply. Early examination of the above-captioned patent application is earnestly requested.

Although it is not believed that any fee is needed to consider this Letter, the USPTO is authorized to charge deposit account number **04-1105** should such fee be deemed necessary.

Respectfully submitted,



Robert L. Buchanan
Registration No. 40,927
EDWARDS & ANGELL, LLP
DIKE, BRONSTEIN, ROBERTS
& CUSHMAN
Intellectual Property Practice Group
P. O. Box 9169
Boston, MA 02209
Tel. (617) 523-3400
Fax: (617) 523-6440

Date: Ocotober 5, 2001

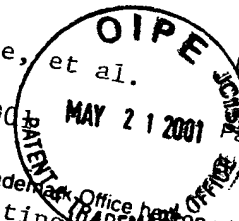
#179509



RECEIVED
DEC 06 2001
TECH CENTER 1600/2930

Mailing Date: May 17, 2001
Client: 71758
Inventors: Peter R. Rhode, et al.
Serial No.: 09/766,378
Filing Date: January 19, 2001

Attorney/Sec: PFC/RLB/pb
Docket No.: 48002-DIV



Patent No.:
Grant Date:

The dating stamp of the Patent and Trademark Office hereon will be taken as the date of filing of:
Submission Of "Sequence Listing, Computer Readable Copy, And/Or
Amendment Pertaining Thereto For Biotechnology Invention Con-
taining Nucleotide And/Or Amino Acid Sequence (Transmittal),
Copy of Communication dated April 3, 2001, Statements in Support
Of Filing And Submissions (37 CFR Secs. 1.821-1.825), Sequence
Listing Computer Printout, 3 1/2" floppy disk containing the
Sequence Listing, Substitute Pages (Sheets 114-128), Preliminary
Amendment; and Certificate of Mailing.

Due Date: June 3, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,378	01/19/2001	Peter R. Rhode	48002-DIV (1758)

21874

DIKE BRONSTEIN ROBERTS & CUSHMAN
130 WATER STREET
BOSTON, MA 021094280

CONFIRMATION NO. 8910

FORMALITIES LETTER



OC000000005931098

Date Mailed: 04/03/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

File Missing PARTS
DIKE, BRONSTEIN, ROBERTS & CUSHMAN
130 Water St. Boston, MA 02108
Date Rec'd 4/16/01
Docketed For 47.3-Nov. 3, 2001
By KLD
Approved _____

PART 1 - ATTORNEY/APPLICANT COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

 RECEIVED
 DEC 06 2001
 TECH CENTER 1630-290
 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,378	01/19/2001	Peter R. Rhode	48002-DIV (1758)

 21874
 DIKE BRONSTEIN ROBERTS & CUSHMAN
 130 WATER STREET
 BOSTON, MA 021094280

CONFIRMATION NO. 8910

FORMALITIES LETTER



OC000000006031749

Date Mailed: 05/02/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice **MUST** be returned with the reply.

Shmael
 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

Sequence Listing
 Edwards & Angell LLP
 Dike, Bronstein, Roberts & Cushman
 130 Water St. Boston, MA 02108

Date Rec'd. *5/9/01*
 Docketed For *Jul. 2 - Dec. 2, 2001*
 By *KRI*
 Approved _____

PART 1 - ATTORNEY/APPLICANT COPY